

FORM PTO-1390 REV. 5-93		US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEYS DOCKET NUMBER <b>P00,1838</b>
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		U.S.APPLICATION NO. (if known, see 37 CFR 1.5) <b>09/674136</b>	
INTERNATIONAL APPLICATION NO. <b>PCT/EP99/02800</b>	INTERNATIONAL FILING DATE <b>23 April 1999</b>	PRIORITY DATE CLAIMED <b>28 April 1998</b>	
TITLE OF INVENTION <b>FACILITY CONTROL COMPONENT OF A COMPUTER SYSTEM</b>			
APPLICANT(S) FOR DO/EO/US <b>Karola Hecker and Rudolf Stelzl</b>			
Applicant herewith submits to the United States /Designated/Elected Office (DO/EO/US) the following items and other information:			
<p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay.</p> <p>4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination will be made by the 19th month from the earliest claimed priority date.</p>			
<p>5. <input checked="" type="checkbox"/> A copy of International Application as filed (35 U.S.C. 371(c)(2))</p> <p>a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>b. <input type="checkbox"/> has been transmitted by the International Bureau.</p> <p>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</p> <p>d. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</p>			
<p>6. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3))</p> <p>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>b. <input type="checkbox"/> have been transmitted by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input checked="" type="checkbox"/> have not been made and will not be made.</p>			
<p>7. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p>			
<p>8. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). <b>Executed</b></p>			
<p>9. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>			
<p><b>Items 11. to 16. below concern other document(s) or information included:</b></p> <p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98; (<b>PTO 1449, Prior Art, Search Report</b>).</p>			
<p>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included. <b>(SEE ATTACHED ENVELOPE)</b></p>			
<p>13. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.</p> <p><input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</p>			
<p>14. <input type="checkbox"/> A substitute specification.</p>			
<p>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</p>			
<p>16. <input checked="" type="checkbox"/> Other items or information:</p> <p>a. <input checked="" type="checkbox"/> Submission of Drawings - Two sheets of Drawings - Drawing Correction Letter - Translation of Drawings</p> <p>b. <input checked="" type="checkbox"/> EXPRESS MAIL #EJ077704227US dated October 26, 2000.</p>			

**09/674136****BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):**

Search Report has been prepared by the EPO or JPO .....

**422 Rec'd**

\$860.00

**PCT/PTO 26 OCT 2000**

International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) ..... \$670.00

No international preliminary examination fee paid to USPTO (37 C.F.R. 1.482) but  
international search fee paid to USPTO (37 C.F.R. 1.445(a)(2)) ..... \$760.00Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search  
fee (37 C.F.R. 1.445(a)(2)) paid to USPTO ..... \$970.00International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) and all claims  
satisfied provisions of PCT Article 33(2)-(4) ..... \$ 96.00**ENTER APPROPRIATE BASIC FEE AMOUNT =**

\$860.00

Surcharge of \$130.00 for furnishing the oath or declaration later than  20  30 months from the  
earliest claimed priority date (37 C.F.R. 1.492(e)).

\$ 0

Claims	Number Filed	Number Extra	Rate	
Total Claims	9	- 20 =	X \$ 8.00	\$
Independent Claims	4	- 3 = 1	X \$ 80.00	\$ 80.00
Multiple Dependent Claims			\$270.00 +	\$
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$940.00
<small>Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 C.F.R. 1.9, 1.27, 1.28)</small>				\$
<b>SUBTOTAL =</b>				\$940.00
<small>Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).</small>				\$
<b>TOTAL NATIONAL FEE =</b>				\$940.00
<small>Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property</small>				\$
<b>TOTAL FEES ENCLOSED =</b>				\$940.00
				Amount to be refunded \$
				charged \$

- a.  A check in the amount of \$ **940.00** to cover the above fees is enclosed.  
 b.  Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.  
 c.  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. **501519**. A duplicate copy of this sheet is enclosed.

**NOTE:** Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

**SEND ALL CORRESPONDENCE TO:**

Schiff Hardin & Waite  
Patent Department  
71st Floor Sears Tower  
Chicago, Illinois 60606

*Brett A. Valiquet*  
**SIGNATURE**

Brett A. Valiquet \_\_\_\_\_

**NAME**

27,841 \_\_\_\_\_

**Registration Number**

2/parts. 422 Rec'd PCT/PTO 26 OCT 2000

**FACILITY CONTROL COMPONENT OF A COMPUTER SYSTEM**

Processor platforms in a computer system, for example a multi-computer system, must communicate with one another. This ensues with communication channels via which SW applications exchange messages with one another. Due to 5 different HW/SW functionalities of the processor platforms in a system, different communication channels of the processor among one another are also required.

So that the inter-processor communication SW (abbreviated as IPK-SW) has knowledge about the available channels in the system, these (during operation and/or during the system run-up) are deposited in a data base (distributed or non-distributed) of which a copy is stored on magnetic disc. The establishment of these 10 networking data ensues implicitly via a corresponding command (for example create...) to the administration SW for establishing an additional processor platform, i.e. the operator need not administer the communication relationships of the processor platforms.

The processor networking in the system has hitherto been rigidly defined. That part of the administration SW that administers the communication channels 15 between the processors in the data base (this part can, for example, be referred to as facility control SW or facility control component) is implemented such that, given establishment of a new processor, it generates precisely the networking rigidly prescribed for this processor type, i.e. rigidly prescribed for a processor type in the code of the facility SW. However, the maximum system expansion (maximum 20 plurality of processors) for all processor types is thus also determined in advanced on the basis of the fixed channel networking. All modifications of the processor networking (new types of processors, new communication channels between 25 processors or modifications of the type of a channel) require modifications in the facility control SW that is responsible for the establishment of the channels in the data base. The resulting modification outlay is substantial.

The invention is based on the object of specifying a facility control component that avoids said disadvantages.

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This object is achieved by a facility control component according to claim

1.

Advantages of the inventive solution:

- The maximum system expansion is no longer fixed
- 5 • The channel networking is not statically defined and, thus, neither is the maximum system expansion.

- The administration SW is now independent of the network topology.

All modifications of the processor networkings (new types of processors, new communication channels between processors) now no longer require any 10 modifications of that part of the administration SW that is responsible for the establishment of the channels in the data base.

An exemplary embodiment of the invention is explained in greater detail below on the basis of the drawing, whereby the drawing comprises two Figures.

FIG. 1 shows an exemplary table with reference whereto the SW controls 15 the establishment of a system component (for example, processor platform) in view of the channel networking.

FIG. 2 shows an exemplary channel networking that must be produced when establishing a new system component of the type C.

A master processor contains the administration SW and the static table. In 20 FIG. 2, those channels that serve the master processor for distribution of the DB (or parts of the DB) onto the other processors (load channels) are not shown. These channels are not contained in the static table of FIG. 1 and are automatically setup upon initial system runup.

- (1) At the design time: definition of formal criteria dependent on 25 a) processor type: (the processor type serves for distinguishing between different processor platforms with different HW and/or SW functionalities)
- b) channel type: (the channel type serves for distinguishing between various transmission characteristics: for example, bandwidth and different 30 employment resulting therefrom (for example, high band widths for loading code and data, low, medium bandwidth but burst-like traffic for

switching-oriented messages) and for assuring protection/security demands: due to separate communication relationships for security-oriented messages and switching-oriented messages, a mutual influencing should be precluded so that, for example, it can also be guaranteed given a high switching-oriented load that failure messages of the security technology can be transmitted)

5

(2) At the design time: statistical declaration of the networking of processors with formal criteria:

in the declaration, which ensues in a programming language suitable for the later 10 generation of the program system, the entire networking topology for all possible platform types of the system is defined with the formal criteria listed above by way of example. The table according to FIG. 1 shows an exemplary content of said declaration (there are processors of the type A, B and C as well as channels of the type 1, 2, 3 and 4).

15

The table in FIG. 1 is to be read as follows:

- \* Processor type 1: processor type of the processor to be newly established
- \* Processor type 2: processor type with which the processor type to be established has a communication relationship
- \* Channel type: characterizes the communication channel that is to be established between processor type 1 and processor type 2.

20

(3) At the compilation/and linking time of the system program system (computer system program system):

generating a table (exemplary table: see FIG. 1) on the basis of the declarations at the design time. Deposit of the table in the DB that is then loaded onto the master 25 processor upon run-up.

(4) At the run time: simply processing the table: this procedure is illustrated in FIG. 2, for example on the basis of the establishment of a new platform during operation (see: "create process (Type C)").

In the exemplary table of FIG. 1, the lines printed in bold face are 30 processed in order to subsequently work corresponding facility description data (networking data) into the DB that serve the local IPK-SW later for establishing the

channels shown with broken lines. In order to find the entry into the table, the administration SW of the processor 1 is handed the information about the type of processor platform to be established, "Type C" here, via the command "create processor (Type C)". The administration SW now additionally observes that line of

- 5 the table wherein the Type C occurs for the first time in the first column. The line instruction SW to establish a channel of the type 4 for a processor of the Type B that has already been established. Subsequently, the SW determines the processor or processors of the Type B already established on the basis of the configuration data already present in the DB, namely processor 2 and processor 5 in this case, and stores 10 corresponding networking data in the DB on the basis of the particulars in the Table line (working the networking data into the DB). Subsequently, the SW considers the next line and processes this line according to the same strategy.

After said working-in, the administration SW instructs the DB management system to distribute said networking data onto the DBs of the remaining 15 platforms (processors) of the system. Finally, the administration SW triggers the IPK-SW of a platform, which subsequently reads the networking data relevant for the platform from the DB and initiates the settings (for example, channel bandwidth, channel identifier, channel employment) on the platform that are required for the networking data.

20 When a new platform (not a new platform type!) is established with new channels during operation, thus, the networking description data are first introduced into the DB, are then distributed onto the participating platforms, and the IPK-SW receives a trigger message thereat that a new platform was established and to undertake the required settings thereat.

25 Upon initial run-up and differing from the example that has been explained, the entire table of FIG. 1 is processed.

It derives from what has been said that the SW that implements the working of the networking data into the DB is independent of the type of processor platform or, respectively, of the type of channel that is to be established. The entire 30 working-in procedure is thus exclusively table-controlled.

**Abbreviations Employed:**

DB: Database

HW: Hardware

IPK-SW: Interprocessor communication SW

5 SW: Software

## **PATENT CLAIMS**

1. Facility control component of a computer system that controls the establishment of a system component (for example, processor platform) of the computer system, in that it

- 5    a) first takes the information from a facility table regarding what communication channel type for the system components is to be established for which system component type;

     b) then determines the system components of said type present in the current system;

10   c) then, on the basis of said channel type, generates facility data with reference whereto said system components and the identified system components implement the establishment of said channel type.

2. Facility control component according to claim 1, characterized in  
that the facility component controls the establishment of a system component in the  
run-up and/or during operation of the computer system.

3. Facility control component according to one of the claims 1 or 2, characterized in that the facility table has been generated offline.

4. Facility control component according to one of the claims 1  
20 through 3, characterized in that the system component is a matter of processor  
platforms.

5. Facility control component of a computer system that controls the establishment of a system component (for example, processor platform), comprising a facility table from which the facility component takes the information regarding  
25 which communication channel type or types are to be established for which system component type to be established at which system component type or, respectively, at which system component types.

6. Facility control component of a computer system that controls the establishment of a system component (for example, processor platform), comprising a facility table according to which the facility component controls the establishment of communication channels between the system component to be established (for example, processor platform) and the remaining system components, whereby the facility table
- 5      a) contains a first column that indicates possible types of system components that can be established,
- 10     b) contains a second column that indicates the system component types for which a system component type from the first column can have a communication relationship,
- 15     c) contains a third column that indicates the type of communication channel that is to be established between the system component types of the first and second column.

7. Method for controlling the establishment of communication channels for a system component of a computer system, in accord wherewith
- 15     a) the information regarding which communication channel type is to be established for the system component for which system component type is first taken from a facility table;
- 20     b) the system components of said type existing in the current system are then identified;
- 25     c) establishment data are then generated on the basis of said channel type, said system component and the identified system components implementing the establishment of said channel type with reference thereto.

8. Method for generating a facility table with whose assistance the establishment of communication channels between system components (processors) of a computer system is controlled, in accord wherewith
- 25     a) at the design time, a static declaration of the type of networking of system components is implemented, in that a declaration is made as to what

- system component type to be established which communication channel type or types are to be established for which system component type;
- b) a facility table is produced at the system building time on the basis of said static declaration.

**ABSTRACT****Facility Control Component Of A Computer System**

Hereto, all modifications of communication channels between processors of a computer system require changes in the facility control SW that is responsible for establishing the channels. According to the invention, the communication channels of the processor platforms are now defined at the design time independently of the extent of the system expansion and are interpreted and corresponding established by the facility SW at the run time.

FIG. 2

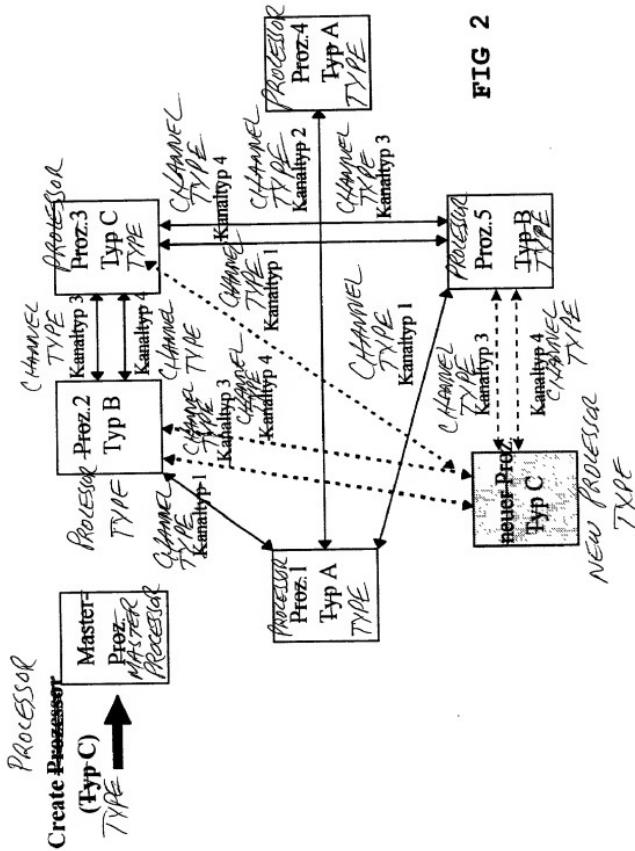
10

Processor Type (Prozessortyp 1 einzurichtender Prozessor)	Processor Type (Prozessortyp 2)	CARRIER TYPE Kanaltyp	Processor Type	
			Processor Type	CARRIER TYPE
Type Typ A	Type Typ B	1		
Type Typ A	Type Typ A	2		
Type Typ B	Type Typ A	1		
Type Typ B	Type Typ C	3		
Type Typ C	Type Typ B	3		
Type Typ C	Type Typ B	4		
Type Typ C	Type Typ C	1		
...	...	...	...	...

To Be  
SETUP

FIG 1

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BOX PCT  
IN THE UNITED STATES ELECTED OFFICE  
OF THE UNITED STATES PATENT AND TRADEMARK OFFICE  
UNDER THE PATENT COOPERATION TREATY-CHAPTER II

5

DRAWING CORRECTION LETTER

APPLICANT: KAROLA HECKER ET AL

DOCKET NO: P00,1838

SERIAL NO:

GROUP ART UNIT:

EXAMINER:

10 INTERNATIONAL APPLICATION NO: PCT/EP99/02800

INTERNATIONAL FILING DATE: 23 April 1999

INVENTION: "FACILITY CONTROL COMPONENT OF A COMPUTER  
SYSTEM"

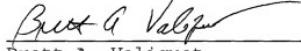
Assistant Commissioner for Patents  
15 Washington, D.C. 20231

Sir:

Please amend Figures 1 and 2 as indicated in red  
on the attached drawing copies.

Respectfully submitted,

20

  
Brett A. Valiquet (Reg. No. 27,841)

25

Brett A. Valiquet  
Schiff Hardin & Waite  
Patent Application  
71st Sears Tower  
Chicago, Illinois 60606  
(312) 258-5786  
Attorneys for Applicants

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Prozessor Typ		Prozessor Typ	Prozessor Typ	CHANNEr Typ
Prozessortyp 1 (entwickelter Prozessor)		Prozessortyp 2	Kanaltyp	
Type	Typ A	Type Typ B	1	
Type	Typ A	Type Typ A	2	
Type	Typ B	Type Typ A	1	
Type	Typ B	Type Typ C	3	
Type	Typ C	Type Typ B	3	
Type	Typ C	Type Typ B	4	
Type	Typ C	Type Typ C	1	...
	...		...	

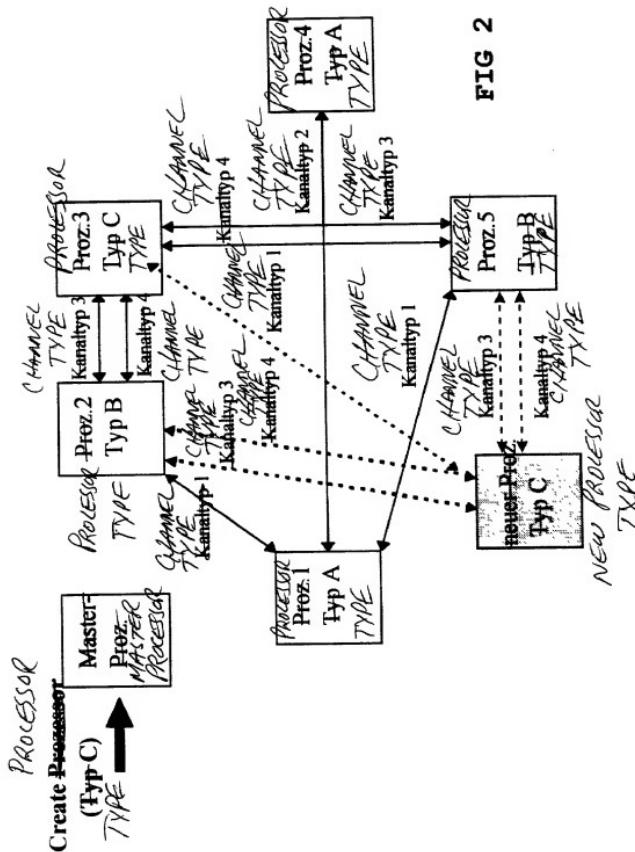
(Prozessor TO BE  
SETUP)

Prozessor Typ

FIG 1

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BOX PCT  
IN THE UNITED STATES ELECTED OFFICE  
OF THE UNITED STATES PATENT AND TRADEMARK OFFICE  
UNDER THE PATENT COOPERATION TREATY-CHAPTER II

5

PRELIMINARY AMENDMENT

APPLICANT: KAROLA HECKER ET AL

DOCKET NO: P00,1838

SERIAL NO:

GROUP ART UNIT:

EXAMINER:

10

INTERNATIONAL APPLICATION NO: PCT/EP99/02800

INTERNATIONAL FILING DATE: 23 April 1999

INVENTION: "FACILITY CONTROL COMPONENT OF A COMPUTER  
SYSTEM"

15

Assistant Commissioner for Patents,  
Washington, D.C. 20231

Sir:

As a Preliminary Amendment for entry into the National Stage for the above-identified PCT application, the following is submitted:

20

IN THE DRAWINGS

Please amend the drawings as indicated in the attached Drawing Correction Letter.

IN THE SPECIFICATION:

Please amend the specification as follows:

0052744-102600

On page 1, before the title, insert

**--S P E C I F I C A T I O N**

**TITLE--**

after the title, as a separate line, insert

**--BACKGROUND OF THE INVENTION--.**

5

On page 1, at line 3, delete "ensues" and substitute --occurs--.

On page 1, at line 11, delete "ensues" and substitute --occurs--.

10

On page 1, at line 17, after "as" insert --a--.

On page 1, at line 18, before "facility" insert  
--a--.

On page 1, at line 22, delete "advanced" and substitute --advance--.

15

On page 1, at line 27, delete "outlay" and substitute --expense--.

On page 1, before line 28, insert the following title:

**--SUMMARY OF THE INVENTION--.**

20

On page 1, delete lines 28-29, and substitute  
--It is an object of the invention to specify a facility control component that avoids the above-indicated disadvantages.--.

25

On page 2, delete lines 1 and 2, and substitute the following:

--According to the method and system of the invention for controlling establishment of communication channels for a system component of a computer system, information regarding which communication channel type

is to be established for the system component for which  
system component type is first taken from a facility  
table. The system components of said type existing in  
the current system are then identified. Establishment  
5 data are then generated on the basis of the channel type,  
said system component and the identified system  
components implementing the establishment of the channel  
type with reference thereto.--

On page 2, at line 13, delete "drawing" (first  
10 occurrence) and substitute --drawings--, in the same  
line, delete "drawing comprises" and substitute  
--drawings comprise--.

On page 2, at line 16, delete "." after  
"networking" and substitute --; and--.

15 On page 2, before line 14, insert the following  
heading:

--BRIEF DESCRIPTION OF THE DRAWINGS--

On page 2, at line 16, delete "." after  
"networking" and substitute --; and--.

20 On page 2, before line 19, insert the following  
heading:

DESCRIPTION OF THE PREFERRED EMBODIMENTS

On page 3, at line 9, delete "ensues" and  
substitute --occurs--.

25 On page 3, at line 12, delete "said" and substitute  
--the--.

On page 4, at lines 13 and 14, delete "said".

On page 4, at aline 21, delete "," after "thus".

30 On page 5, as the last paragraph, insert the  
following paragraph:

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5 --Although various minor changes and modifications might be proposed by those skilled in the art, it will be understood that our wish is to include within the claims of the patent warranted hereon all such changes and modifications as reasonably come within our contribution to the art.--

**IN THE ABSTRACT:**

Please amend the Abstract as follows:

Delete "Abstract" and substitute --**ABSTRACT OF THE**

10 **DISCLOSURE**--.

Delete line 2.

At line 3, delete "Hereto" and substitute  
--Previously--.

15 At line 4, delete "require" and substitute  
--required--.

At line 5, delete "According to the invention" and substitute --With the disclosed system,--.

Delete line 9.

**IN THE CLAIMS:**

20 On page 10 of the claims, delete "PATENT CLAIMS"  
and substitute --**WE CLAIM AS OUR INVENTION**--.

Please cancel claims 1-8 without prejudice.

Please substitute claims 9-17 as follows:

25 9. A facility control component of a computer system that controls establishment of a system component of the computer system, comprising:

means for first taking information from a facility table regarding what communication channel type for the system components is to be established for which system component type;

5 means for determining system components of said type present in the system; and

means for generating facility data with reference to which said system components and the identified system components implement establishment of said channel type.

10 10. The facility control component according to claim 9 wherein the facility component controls the establishment of a system component in at least one of the run-up and during operation of the computer system.

15 11. The facility control component according to claim 9 wherein the facility table has been generated offline.

20 12. The facility control component according to claim 9 wherein the system component comprises processor platform.

13. A facility control component of a computer system that controls establishment of a system component, comprising:

25 a facility table from which the facility component takes information regarding which communication channel type or types are to be established for which system

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component type to be established at which system component type or at which system component types.

14. A facility control component of a computer system that controls establishment of a system component comprising:

a facility table according to which the facility component controls establishment of communication channels between the system component to be established and remaining system components; and

10       the facility table having  
          a first column that indicates possible types of  
          system components that can be established,  
          a second column that indicates the system component types for which a system component type  
15       from the first column can have a communication  
          relationship, and  
          a third column that indicates the type of  
          communication channel that is to be established  
          between the system component types of the first  
20       and second column.

15. A method for controlling establishment of communication channels for a system component of a computer system, comprising the steps of:

25       taking from a facility table information regarding  
          which communication channel type is to be established for  
          the system component for which system component type;

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identifying the system components of said type existing in the current system; and

5       then generating establishment data on the basis of said channel type, said system component and the identified system components implementing establishment of said channel type with reference thereto.

10      16.     A method for generating a facility table with assistance of which establishment of communication channels between system components of a computer system is controlled comprising the steps of:

15      at a design time, implementing a static declaration of a type of networking of system components wherein a declaration is made as to what system component type is to be established and which communication channel type or types are to be established for which system component type; and

producing a facility table at system building time based on said static declaration.

20      17.     The method of claim 16 wherein the system components comprise processors.

REMARKS

25      The specification, abstract, and drawings have been amended in accordance with U.S. practice, and for improved readability and clarity.

New claims based on the PCT claims, but drawn in accordance with U. S. practice are presented herewith.

09674136-102600

An Information Disclosure Statement is enclosed.

Respectfully submitted,

 (Reg. No. 27,841)

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71st Floor Sears Tower  
Chicago, Illinois 60606  
(312) 258-5786  
Attorneys for Applicants

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**Declaration and Power of Attorney For Patent Application**  
**Erklärung Für Patentanmeldungen Mit Vollmacht**  
**German Language Declaration**

Als nachstehend benannter Erfinder erkläre ich hiermit  
an Eides Statt:

dass mein Wohnsitz, meine Postanschrift, und meine  
Staatsangehörigkeit den im Nachstehenden nach  
meinem Namen aufgeführten Angaben entsprechen,

dass ich, nach bestem Wissen der ursprüngliche,  
erste und alleinige Erfinder (falls nachstehend nur ein  
Name angegeben ist) oder ein ursprünglicher, erster  
und Miterfinder (falls nachstehend mehrere Namen  
aufgeführt sind) des Gegenstandes bin, für den dieser  
Antrag gestellt wird und für den ein Patent beantragt  
wird für die Erfindung mit dem Titel:

Einrichtungssteuerungs-Komponente eines  
Rechnersystems

deren Beschreibung

(zutreffendes ankreuzen)

hier beigelegt ist.

am \_\_\_\_\_ als

PCT internationale Anmeldung

PCT Anmeldungsnummer \_\_\_\_\_

eingereicht wurde und am \_\_\_\_\_  
abgeändert wurde (falls tatsächlich abgeändert).

Ich bestätige hiermit, dass ich den Inhalt der obigen  
Patentanmeldung einschließlich der Ansprüche  
durchgesehen und verstanden habe, die eventuell  
durch einen Zusatzantrag wie oben erwähnt abgeän-  
dert wurde.

Ich erkenne meine Pflicht zur Offenbarung irgendwel-  
cher Informationen, die für die Prüfung der vorliegen-  
den Anmeldung in Einklang mit Absatz 37, Bundes-  
gesetzbuch, Paragraph 1.56(a) von Wichtigkeit sind,  
an.

Ich beanspruche hiermit ausländische Prioritätsvor-  
teile gemäß Abschnitt 35 der Zivilprozeßordnung der  
Vereinigten Staaten, Paragraph 119 aller unten ange-  
gebenen Auslandsanmeldungen für ein Patent oder  
eine Erfindersurkunde, und habe auch alle Auslands-  
anmeldungen für ein Patent oder eine Erfindersurkun-  
de nachstehend gekennzeichnet, die ein Anmelde-  
datum haben, das vor dem Anmelgedatum der An-  
meldung liegt, für die Priorität beansprucht wird.

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are  
as stated below next to my name,

I believe I am the original, first and sole inventor (if  
only one name is listed below) or an original, first and  
joint inventor (if plural names are listed below) of the  
subject matter which is claimed and for which a patent  
is sought on the invention entitled

\_\_\_\_\_

\_\_\_\_\_

the specification of which

(check one)

is attached hereto.

was filed on \_\_\_\_\_ as

PCT international application

PCT Application No. \_\_\_\_\_

and was amended on \_\_\_\_\_

(if applicable)

I hereby state that I have reviewed and understand the  
contents of the above identified specification, includ-  
ing the claims as amended by any amendment refer-  
red to above.

I acknowledge the duty to disclose information which  
is material to the examination of this application in  
accordance with Title 37, Code of Federal Regula-  
tions, §1.56(a).

I hereby claim foreign priority benefits under Title 35,  
United States Code, §119 of any foreign application(s)  
for patent or inventor's certificate listed below and  
have also identified below any foreign application for  
patent or inventor's certificate having a filing date  
before that of the application on which priority is clai-  
med:

## **German Language Declaration**

Prior foreign applications  
Priorität beansprucht

**Priority Claimed**

98107761.3    Germany (EP)    28. April 1998  
(Number)        (Country)        (Day Month Year Filed)  
(Nummer)        (Land)        (Tag Monat Jahr eingereicht)

Yes No  
Ja Nein

(Number) (Country) (Day Month Year Filed)  
(Nummer) (Land) (Tag Monat Jahr eingereicht)

Yes No  
Ja Nein

(Number) (Country) (Day Month Year Filed)  
(Nummer) (Land) (Tag Monat Jahr eingereicht)

Yes No  
Ja Nein

Ich beanspruche hiermit gemäss Absatz 35 der Zivilprozeßordnung der Vereinigten Staaten, Paragraph 120, den Vorzug aller unten aufgeführten Anmeldungen und falls der Gegenstand aus jedem Anspruch dieser Anmeldung nicht in einer früheren amerikanischen Patentanmeldung laut dem ersten Paragraphen des Absatzes 35 der Zivilprozeßordnung der Vereinigten Staaten, Paragraph 122 offenbart ist, erkenne ich gemäss Absatz 37, Bundesgesetzbuch, Paragraph 1.56(a) meine Pflicht zur Offenbarung von Informationen an, die zwischen dem Anmelde datum der früheren Anmeldung und dem nationalen oder PCT internationalen Anmelde datum dieser Anmeldung bekannt geworden sind.

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §122, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

(Application Serial No.)  
(Anmeldeseriennummer)

(Filing Date)  
(Anmeldedatum)

(Status)  
(patentiert, anhängig,  
aufgegeben)

(Status)  
(patented, pending,  
abandoned)

(Application Serial No.)  
(Anmeldeseriennummer)

(Filing Date)  
(Anmeldedatum)

(Status)  
(patentiert, anhängig,  
aufheben)

(Status)  
(patented, pending,  
abandoned)

Ich erkläre hiermit, dass alle von mir in der vorliegenden Erklärung gemachten Angaben nach meinem besten Wissen und Gewissen der vollen Wahrheit entsprechen, und dass ich diese eidesstattliche Erklärung in Kenntnis dessen abgebe, dass wissenschaftlich und vorsätzlich falsche Angaben gemass Paragraph 1001, Absatz 18 der Zivilprozeßordnung der Vereinigten Staaten von Amerika mit Geldstrafe belegt und/oder Gefängnis bestraft werden können, und dass derartig wissenschaftlich und vorsätzlich falsche Angaben die Gültigkeit der vorliegenden Patentanmeldung oder eines darauf erteilten Patentes gefährden können.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

## German Language Declaration

**VERTRETUNGSVOLLMACHT:** Als benannter Erfinder beauftrage ich hiermit den nachstehend benannten Patentanwalt (oder die nachstehend benannten Patentanwälte) und/oder Patent-Agenten mit der Verfolgung der vorliegenden Patentanmeldung sowie mit der Abwicklung aller damit verbundenen Geschäfte vor dem Patent- und Warenzeichenamt: (Name und Registrationsnummer anführen)

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

Messrs. John D. Simpson (Registration No. 19,842) Lewis T. Steadman (17,074), William C. Stueber (16,453), P. Phillips Connor (19,258), Dennis A. Gross (25,410), Marvin McCoy (16,549), Steven H. Noll (28,982), Brett A. Valiquet (27,841), Thomas I. Ross (29,275), Kevin W. Guyer (29,927), Edward A. Lehmann (22,312), James D. Hobart (24,169), Robert M. Barrett (30,142), James Van Santen (16,584), J. Arthur Gross (13,615), Richard J. Schwarz (13,472) and Thomas A. Robinson (31,870), David R. Metzger (32,919), John R. Garrett (27,888) all members of the firm of Hill, Steadman & Simpson, A Professional Corporation.

And I hereby appoint

Telefongespräche bitte richten an:  
(Name und Telefonnummer)

Direct Telephone Calls to: (name and telephone number)

312/876-0200  
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Postanschrift:

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Voller Name des einzigen oder ursprünglichen Erfinders: <b>HECKER, Karola</b>		Full name of sole or first inventor:	
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(Bitte entsprechende Informationen und Unterschriften im Falle von dritten und weiteren Miterfindern angeben).

(Supply similar information and signature for third and subsequent joint inventors).